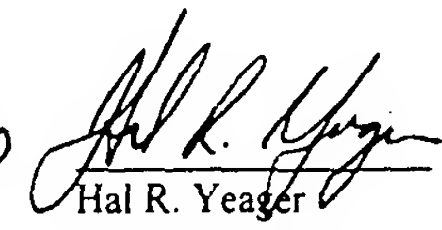


PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Brent MARSH )  
)  
Appl. No: 09/598,009 )  
)  
Filed: June 20, 2000 )  
)  
For: CCFL Illuminated Device and )  
Method of Use )  
)  
Att'y Docket: 6555-54213 )  
)  
Examiner: To Be Assigned )  
)  
)  
)

I hereby certify that this paper is being deposited  
with the U.S. Postal Service as first class mail in  
an envelope addressed to: Assistant  
Commissioner for Patents, Washington, D.C.  
20231, on this date.

11/10/2000   
Date Hal R. Yeager  
Reg. No. 35,419

SUPPLEMENTAL DECLARATION FOR PATENT APPLICATION

As a below named sole inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an  
original, first and joint inventor (if plural names are listed below) of the subject matter which is  
claimed and for which a patent is sought on the invention entitled:

**CCFL ILLUMINATED DEVICES AND METHOD OF USE**

the specification of which (check one)

- ( ) is attached hereto.  
(X) was filed by an authorized person on my behalf on  
June 20, 2000 as Application Serial No. 09/598,009  
and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that we have reviewed and understand the contents of the above identified  
specification, including the claims as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this  
application in accordance with Title 37, Code of Federal Regulations, Sect. 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Sect. 119 of any foreign application(s) for patent or inventor's certificate listed below and so identified, and we have also identified below any foreign application for patent or inventor's certificate on this invention filed by me or my legal representatives or assigns and having a filing date before that of the application on which priority is claimed.

<u>Number</u>	<u>Country</u>	<u>Day/Month/Year Filed</u>	<u>Priority Claimed (Yes or No)</u>
		NONE	

I hereby claim the benefit under Title 35, United States Code, Sect. 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Sect. 112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Sect. 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>Application Serial No.</u>	<u>Filing Date</u>	<u>Status</u>
08/630,361	April 10, 1996	Pending

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below:

<u>Application Serial No.</u>	<u>Filing Date</u>
	NONE

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the following attorneys, with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent Office connected therewith and request that all correspondence and telephone calls in respect to this application be directed to COUDERT BROTHERS, 4 Embarcadero Center, Suite 3300, San Francisco, California 94111, Telephone No. (415) 986-1300:

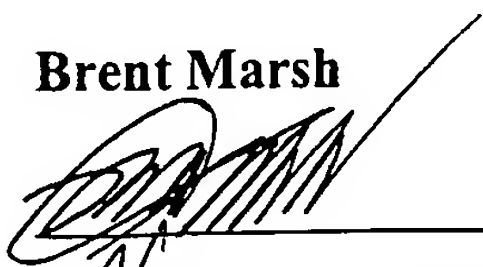
<u>Attorney/Agent</u>	<u>Reg. No.</u>
J. Bruce McCubbrey	20,687
Richard A. Dannells, Jr.	22,654
Donald L. Bartels	28,282
David Schnapf	31,566
Hal R. Yeager	35,419
Robert D. Becker	37,778
James W. Drapinski	46,242

John W. Carpenter 26,447  
Tom Brody 46,433

Full name of sole inventor:

**Brent Marsh**

Inventor's signature:



Date:

Nov. 6, 2000

Residence & Post Office Address:

1536 Jones St.  
San Francisco, CA 94109

Citizenship:

United States of America

Address for Correspondence:

**COUDERT BROTHERS**  
**4 Embarcadero Center, Suite 3300**  
**San Francisco, CA 94111**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Re-Energy, Inc.  
Application No./Patent No.: 09/598,009 Filed/Issue Date: June 20, 2000  
Entitled: CCFL ILLUMINATED DEVICE AND METHOD OF USE  
BJI ENERGY SOLUTIONS, LLC, a Delaware Limited Liability Company,  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

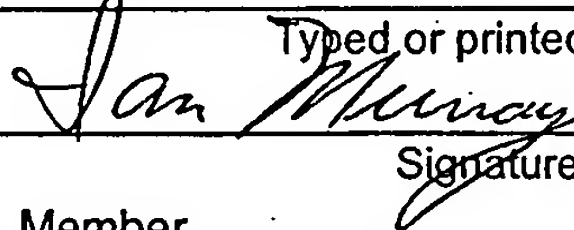
1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.  
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.  
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

November 16, 2001  
Date

IAN MURRAY  
Typed or printed name  
  
Signature  
Member  
Title

## PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

RE-ENERGY, INC.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: 10/31/01

## 2. Name and address of receiving party(ies):

Name: BJI ENERGY SOLUTIONS, LLC

Internal Address: Los Angeles, CA

Street Address:

City: Los Angeles CA

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

## A. Patent Application No.(s)

09/310,451 - Filed May 12, 1999  
09/476,236 - Filed December 30, 1999  
09/598,009 - Filed June 20, 2000  
PCT US00/06468 - Filed March 9, 2000

## B. Patent No.(s)

6,135,620 - Issued October 24, 2000

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: KLEINBERG &amp; LERNER, LLP

Internal Address:

Street Address: 2049 Century Park East, #1080

City: Los Angeles State: California Zip: 90067

## 6. Total number of applications and patents involved:

5

## 7. Total fee (37 CFR 3.41):.....\$200.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Charge any excess or credit any refund to deposit account number:

• 01-2224

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Marvin H. Kleinberg, Esq.

Name of Person Signing

Signature

Date

11/6/2001

Total number of pages comprising cover sheet

3

OMB No. 0651-0011 (exp. 4/94)

## ASSIGNMENT

WHEREAS, RE-ENERGY, INC. (hereinafter "RE-ENERGY"), a corporation organized and existing under the laws of the State of California and having its principal place of business in the city of San Francisco, State of California, is the sole owner of the inventions identified on Exhibit A annexed hereto, for which Letters Patent have been issued and Applications for Letters Patent have been filed with the United States Patent and Trademark Office.

WHEREAS, BJI ENERGY SOLUTIONS, LLC ("BJI"), a limited liability company organized and existing under the laws of the State of Delaware and having its principal place of business in the city of Los Angeles, State of California, is desirous of acquiring all of the right title and interest in and to the inventions and the Letters Patent and Applications for Letters Patent therefor listed on Exhibit A, including all rights in all countries foreign to the United States of America including rights to claim priority.

THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, said RE-ENERGY does hereby sell, assign, and transfer unto the said BJI, all right, title and interest for the United States of America and its territorial possessions and in all countries foreign to the United States of America, including all rights to claim priority in and to any and all improvements which are disclosed in the inventions listed on Exhibit A annexed hereto, and any legal equivalents thereof in a country foreign to the United States of America including the right to claim priority and in and to all Letters Patent to be obtained for said inventions by the above applications or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof, including the right to sue for past infringement.

RE-ENERGY covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

RE-ENERGY further covenants that BJI will, upon its request, be provided promptly with all pertinent facts and documents relating to said inventions and said Letters Patent and applications and legal equivalents as may be known and accessible to RE-ENERGY and will testify as to the same in any interference, litigation or proceeding related thereto, and will promptly execute and deliver to BJI or its legal representatives any and all papers, instruments or affidavits required to apply for, maintain, issue and enforce said patent, said applications, said inventions and any said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes hereof.

A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately to the United States Patent and Trademark Office..

Date: 10/30/01

RE-ENERGY, INC.

By: Michael A. [Signature]

## Exhibit A

To Assignment from RE-ENERGY, INC., to BJI ENERGY SOLUTIONS, LLC

### U.S. LETTERS PATENT:

6,135,620      Issued October 24, 2000

### APPLICATIONS FOR LETTERS PATENT:

09/310,451      Filed: May 12, 199

09/476,236      Filed December 30, 1999

09/598,009      Filed June 20, 2000

PCT US00/06468      Filed March 9, 2000



Please type a plus sign (+) inside this box → 2

PTO/SB/82 (10-00)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## REVOCATION OF POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	09/598,009
Filing Date	6/20/2000
First Named Inventor	Brent Marsh
Group Art Unit	3628
Examiner Name	Brian Green
Attorney Docket Number	370499.13051

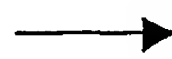
I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application:

☒ A Power of Attorney or Authorization of Agent is submitted herewith.

OR

☐ Please change the correspondence address for the above-identified application to:

☐ Customer Number



Place Customer  
Number Bar Code  
Label here

OR

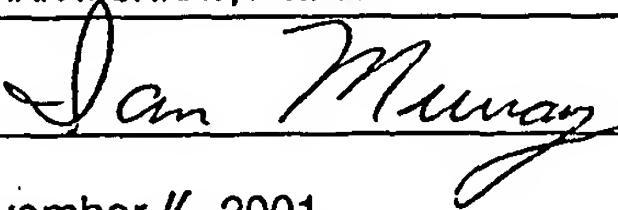
<input checked="" type="checkbox"/> Firm or Individual Name	Marvin H. Kleinberg, Esq.				
Address	KLEINBERG & LERNER, LLP				
Address	2049 Century Park East, Suite 1080				
City	Los Angeles				
Country	USA	State	CA	ZIP	90067
Telephone	(310) 557-1511	Fax	(310) 557-1540		

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

### SIGNATURE of Applicant or Assignee of Record

Name	BJI ENERGY SOLUTIONS, LLC By: IAN MURRAY, Member
Signature	
Date	November 16, 2001

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of 2 forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/598,009	06/20/2000	Brent Marsh	<del>6555-54213</del> 370499.13051

CONFIRMATION NO. 6512



\*OC000000007452646\*

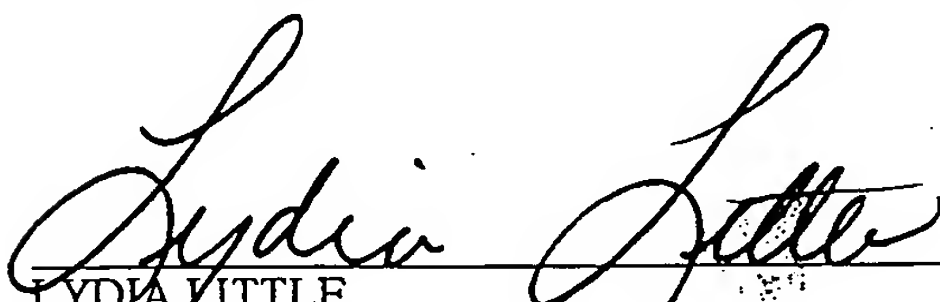
Kleinberg & Lerner  
Marvin H Kleinberg  
2049 Century Park East  
Ste 1080  
Los Angeles, CA 90067

Date Mailed: 02/11/2002

## NOTICE REGARDING POWER OF ATTORNEY

This is in response to the Power of Attorney filed 12/31/2001.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

  
LYDIA LITTLE  
3600 (703) 308-0690

ATTORNEY/APPLICANT COPY